

General Problem:

Study (linear) wave equation, e.g. Maxwell equations, Elasticity equations, (Schrödinger equation) in a region of space filled by regular tiling (discrete translational symmetry), see Image

Two possible approaches:

- Top-down (Band theory): Derive general properties of solutions based on symmetries.

(Powerful in combination with finite elements solver)

- Bottom-up (tight-binding): Start from solutions of building block (ex. single WGMR) to find solutions for the full system

(Possible if the full system is made of weakly interacting subsystems)



Top-down approach (band theory)

(2)

I have to solve (generalized) eigenvalue problem

$$\Theta(t) \vec{\Psi} = \lambda(\omega) B(t) \vec{\Psi} \quad (i)$$

↑ frequency ! $\vec{\Psi}$ can be vector

e.g. for Maxwell equation $\text{Re } \Psi = \text{Magnetic field}$

$$\Theta(t) \vec{\Psi} = \nabla \times \left(\frac{1}{\epsilon(t)} \nabla \times \vec{\Psi} \right) \quad \lambda(\omega) = \frac{\omega^2}{c^2}$$

$$B(t) = 1$$

For Elasticity equation $\text{Re } \Psi = \text{displacement} \dots$

$$B(t) = \text{density}, \quad \lambda(\omega) = \omega^2, \quad \Theta(t) = \text{bulk modulus}$$

Translational invariance \Rightarrow

- Equivalent points (e.g. centers of hexagons) form Bravais lattice spanned by two primitive lattice vectors

$$\vec{a}_\mu = \mu_1 \vec{a}_1 + \mu_2 \vec{a}_2$$

- Unit cell: smallest region that allows to tile the whole space (not uniquely defined)

- Wigner-Seitz cell: unit-cell with the same point symmetries of the lattice

$$\Rightarrow \Theta(\vec{r} + \vec{a}_\mu) = \Theta(\vec{r}) \quad \text{and} \quad B(\vec{r} + \vec{a}_\mu) = B(\vec{r})$$

Bloch Theorem: I can find a set of solutions of (i) that are also eigenstates of translations by a lattice vector (3)

$$T_{\vec{a}} \Psi_{n, \vec{k}}^{\vec{x}}(\vec{r}) = \Psi_{n, \vec{k}}^{\vec{x}}(\vec{r} - \vec{a}) = \lambda_{\vec{a}}(\vec{k}) \Psi_{n, \vec{k}}^{\vec{x}} = e^{i \vec{k} \cdot \vec{a}} \Psi_{n, \vec{k}}^{\vec{x}}$$

\forall lattice vector \vec{a} band index quasimomentum

I can write the eigenvalues $\lambda_{\vec{a}}(\vec{k})$ in the form $e^{i \vec{k} \cdot \vec{a}}$ because $|\lambda_{\vec{a}}(\vec{k})| \stackrel{!}{=} 1$ otherwise wavefunction would explode and because

$$T_{\vec{a}_1} T_{\vec{a}_2} = T_{\vec{a}_1 + \vec{a}_2} \Rightarrow \lambda_{\vec{a}_1}(\vec{k}) \lambda_{\vec{a}_2}(\vec{k}) \stackrel{!}{=} \lambda_{\vec{a}_1 + \vec{a}_2}(\vec{k})$$

\Rightarrow linear dependence on \vec{a} in the exponent

Note that

$$e^{i \vec{k} \cdot \vec{a}} = e^{i (\vec{k} + \vec{b}) \cdot \vec{a}} \quad \text{if } \vec{b} \cdot \vec{a} = 2\pi \times \text{Integer}$$

\Rightarrow Quasimomentum \vec{k} defined modulo a vector \vec{b} , all possible \vec{b} form reciprocal lattice

$$\vec{b} = n_1 \vec{b}_1 + n_2 \vec{b}_2 \quad \text{where } \vec{b}_i \cdot \vec{a}_j = 2\pi \delta_{ij}$$

see slides for geometrical interpretation

\Rightarrow All inequivalent quasimomenta can be chosen within Wigner-Seitz cell of reciprocal lattice, i.e. the Brillouin zone, see slides.